

# Recipient Committee Campaign Statement

(Government Code Sections 84200-84216.5)

Type or print in ink.

COVER PAGE

CALIFORNIA  
2001/02  
FORM

460

Date Stamp

Statement covers period

from 07/01/2017

through 12/31/2017

Date of election if applicable:  
(Month, Day, Year)

11/08/2016

Page 1 of 31

For Official Use Only

SEE INSTRUCTIONS ON REVERSE

## 1. Type of Recipient Committee: All Committees - Complete Parts 1,2,3, and 4.

☒ Officeholder, Candidate Controlled Committee

☒ State Candidate Election Committee

☐ Recall

(Also Complete Part 5.)

☐ General Purpose Committee

☐ Sponsored

☐ Small Contributor Committee

☐ Political Party/Central Committee

☐ Ballot Measure Committee

☐ Primary Formed

☐ Controlled

☐ Sponsored

(Also Complete Part 6.)

☐ Primary Formed Candidate/  
Officeholder Committee

(Also Complete Part 7.)

## 2. Type of Statement:

☐ Pre-election Statement

☒ Semi-annual Statement

☐ Termination Statement

☐ Amendment (Explain below)

☐ Quarterly Statement

☐ Special Odd-Year Report

☐ Supplemental Preelection  
Statement - Attach Form 495

## 3. Committee Information

I.D. NUMBER  
1347713

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Swanson For Senate 2016

STREET ADDRESS (NO P.O. BOX)

| CITY       | STATE | ZIP CODE | AREA CODE/PHONE |
|------------|-------|----------|-----------------|
| Sacramento | CA    | 95841    | (916)348-9100   |

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

| CITY | STATE | ZIP CODE | AREA CODE/PHONE |
|------|-------|----------|-----------------|
|------|-------|----------|-----------------|

OPTIONAL: FAX/E-MAIL ADDRESS  
916-348-9111

## Treasurer(s)

NAME OF TREASURER  
Rita Copeland

MAILING ADDRESS

| CITY       | STATE | ZIP CODE | AREA CODE/PHONE |
|------------|-------|----------|-----------------|
| Sacramento | CA    | 95841    | 916-348-9100    |

NAME OF ASSISTANT TREASURER, IF ANY  
Denise Lewis

MAILING ADDRESS

| CITY       | STATE | ZIP CODE | AREA CODE/PHONE |
|------------|-------|----------|-----------------|
| Sacramento | CA    | 95841    | 916-348-9100    |

OPTIONAL: FAX/E-MAIL ADDRESS

## 4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 01/11/2018 By Rita Copeland  
DATE SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on 01/11/2018 By Sandre Swanson  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT OR RESPONSIBLE OFFICER OF SPONSOR

Executed on \_\_\_\_\_ By \_\_\_\_\_  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT

FPPC Form 460 (June/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC  
State of California

# Recipient Committee Campaign Statement Cover Page – Part 2

Type or print in ink.

COVER PAGE - PART 2

CALIFORNIA  
FORM **460**

Page 2 of 31

## 5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

Sandre Swanson

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

Sought: State Senator

Senate District

9

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP

Alameda

CA

94502

**Related Committees Not Included in this Statement:** List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or to make expenditures on behalf of your candidacy.

COMMITTEE NAME

Swanson for Senate 2020

I.D. NUMBER

NAME OF TREASURER

Rita Copeland

CONTROLLED COMMITTEE?

☒ YES

☐ NO

COMMITTEE ADDRESS STREET ADDRESS (NO P.O.BOX)

CITY

Sacramento

STATE

CA

ZIP CODE

95841

AREA CODE/PHONE

916-348-9100

COMMITTEE NAME

I.D. NUMBER

NAME OF TREASURER

CONTROLLED COMMITTEE?

☐ YES

☐ NO

COMMITTEE ADDRESS STREET ADDRESS (NO P.O.BOX)

CITY

STATE

ZIP CODE

AREA CODE/PHONE

## 6. Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER

JURISDICTION

☐ SUPPORT

☐ OPPOSE

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

## 7. Primarily Formed Committee

List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE

OFFICE SOUGHT OR HELD

☐ SUPPORT

☐ OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE

OFFICE SOUGHT OR HELD

☐ SUPPORT

☐ OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE

OFFICE SOUGHT OR HELD

☐ SUPPORT

☐ OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE

OFFICE SOUGHT OR HELD

☐ SUPPORT

☐ OPPOSE

Attach continuation sheets if necessary

# Campaign Disclosure Statement Summary Page

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SUMMARY PAGE

|  |   |
|--|---|
| Statement covers period<br>from 07/01/2017<br>through 12/31/2017 | <b>CALIFORNIA FORM 460</b><br>Page 3 of 31<br>I.D. NUMBER 1347713 |
|--|---|

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Swanson For Senate 2016

## Contributions Received

|                                       |                    | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|---------------------------------------|--------------------|--|--|
| 1. Monetary Contributions .....       | Schedule A, Line 3 | \$16,000.00  | \$39,200.00                                |
| 2. Loans Received .....               | Schedule B, Line 7 | (\$11,200.00)  | \$27,000.00                                |
| 3. SUBTOTAL CASH CONTRIBUTIONS .....  | Add Lines 1 + 2    | \$4,800.00   | \$66,200.00                                |
| 4. Nonmonetary Contributions .....    | Schedule C, Line 3 | \$0.00   | \$50.00                                    |
| 5. TOTAL CONTRIBUTIONS RECEIVED ..... | Add Lines 3 + 4    | \$4,800.00   | \$66,250.00                                |

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

|                           | 1/1 through 6/30 | 7/1 to Date |
|---------------------------|------------------|-------------|
| 20. Contribution Received | \$0.00           | \$0.00      |
| 21. Expenditures Made     | \$0.00           | \$0.00      |

## Expenditures Made

|  |                      |              |              |
|--|----------------------|--------------|--------------|
| 6. Payments Made .....                   | Schedule E, Line 4   | \$7,072.56   | \$16,530.89  |
| 7. Loans Made .....                      | Schedule H, Line 7   | \$0.00       | \$0.00       |
| 8. SUBTOTAL CASH PAYMENTS .....          | Add Lines 6 + 7      | \$7,072.56   | \$16,530.89  |
| 9. Accrued Expenses (Unpaid Bills) ..... | Schedule F, Line 3   | (\$5,230.88) | \$84,525.19  |
| 10. Nonmonetary Adjustment .....         | Schedule C, Line 3   | \$0.00       | \$50.00      |
| 11. TOTAL EXPENDITURES MADE .....        | Add Lines 8 + 9 + 10 | \$1,841.68   | \$101,106.08 |

## Expenditure Limit Summary for State Candidates

### 22. Cumulative Expenditures Made\* (If Subject to Voluntary Expenditure Limit)

| Date of Election<br>(mm/dd/yy) | Total to Date |
|--------------------------------|---------------|
| 11/8/2016                      | \$297,109.37  |
| 6/7/2016                       | \$410,159.60  |
|                                |               |
|                                |               |
|                                |               |
|                                |               |
|                                |               |

## Current Cash Statement

# Current Cash Statement

|   |   |            |  |
|---|---|------------|--|
| 12. Beginning Cash Balance .....                          | Previous Summary Page, Line 16                | \$2,851.53 | To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is |
| 13. Cash Receipts .....                                   | Column A, Line 3 above                        | \$4,800.00 |  |
| 14. Miscellaneous Increases to Cash .....                 | Schedule I, Line 4                            | \$0.00     |  |
| 15. Cash Payments .....                                   | Column A, Line 8 above                        | \$7,072.56 |  |
| 16. <b>ENDING CASH BALANCE</b> .....                      | Add Lines 12 + 13 + 14, then subtract Line 15 | \$578.97   |  |
| If this is a termination statement, Line 16 must be zero. |   |            |  |

17. LOAN GUARANTEES RECEIVED..... Schedule B, Part 2 \$0.00

## Cash Equivalents and Outstanding Debts

|                             |                                       |              |
|-----------------------------|---------------------------------------|--------------|
| 18. Cash Equivalents .....  | See instructions on reverse           | \$0.00       |
| 19. Outstanding Debts ..... | Add Line 2 + Line 9 in Column B above | \$111,525.19 |

\*Since January 1, 2001. Amounts in this section may be different from amounts reported in Column B.

# Schedule A

## Monetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A

|  |            |                            |
|--|------------|----------------------------|
| Statement covers period                  |            | <b>CALIFORNIA FORM 460</b> |
| from                                     | 07/01/2017 |                            |
| through                                  | 12/31/2017 | Page 4 of 31               |
| NAME OF FILER<br>Swanson For Senate 2016 |            | I.D. Number<br>1347713     |

SEE INSTRUCTIONS ON REVERSE

| DATE RECEIVED | FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *  | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED)   |
|---------------|---|---|--|-----------------------------|---|--------------------------------------|
| 11/28/2017    | Alfreda H. Abbott<br>Oakland, CA 94605  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a<br>Retired   | \$150.00                    | \$150.00  | 2016P: \$150.00<br>2016G: \$150.00   |
| 11/14/2017    | Max Anderson<br>Berkeley, CA 94703  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a<br>Retired   | \$250.00                    | \$250.00  | 2016G: \$250.00                      |
|               | ***INTERMEDIARY***<br>River City Business Services<br>Sacramento, CA 95841                    | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |  |                             |   |                                      |
| 8/24/2017     | Barbara Lee For Congress<br>Washington, DC 20036  | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input checked="" type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |  | \$500.00                    | \$1,000.00  | 2016P: \$250.00<br>2016G: \$2,000.00 |
| 11/20/2017    | Barbara Lee For Congress<br>Washington, DC 20036  | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input checked="" type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |  | \$500.00                    | \$1,000.00  | 2016P: \$250.00<br>2016G: \$2,000.00 |

**SUBTOTAL**

### Schedule A Summary

|   |                          |
|---|--------------------------|
| 1. Amount received this period - contributions of \$100 or more.<br>(Include all Schedule A subtotals.) .....                             | \$15,800.00              |
| 2. Amount received this period - unitemized contributions of less than \$100 .....  | \$200.00                 |
| 3. Total monetary contributions received this period.<br>(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... | <b>TOTAL</b> \$16,000.00 |

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

FPPC Form 460 (JUNE/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

# Schedule A (Continuation Sheet) Monetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

|   |  |                            |
|---|--|----------------------------|
| Statement covers period<br>from <u>07/01/2017</u> |  | <b>CALIFORNIA FORM 460</b> |
| through <u>12/31/2017</u>                         |  |                            |
| Page <u>5</u> of <u>31</u>                        |  | I.D. Number<br>1347713     |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

| DATE RECEIVED   | FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *  | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED)     |
|-----------------|---|---|--|-----------------------------|---|--|
| 11/1/2017       | Daniel Boggan, Jr.<br>Oakland, CA 94602   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Daniel Boggan, Jr.<br>Consultant   | \$250.00                    | \$250.00  | 2016P: \$500.00<br>2016G: \$350.00     |
| 11/1/2017       | Robert Brauer<br>Berkeley, CA 94707   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a<br>Retired   | \$100.00                    | \$200.00  | 2016P: \$2,383.28<br>2016G: \$1,200.00 |
| 11/1/2017       | Larry G. Broussard<br>Sacramento, CA 95831  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | California State Assembly<br>Legislative Aide  | \$300.00                    | \$300.00  | 2016P: \$550.00<br>2016G: \$1,150.00   |
| 9/8/2017        | CA State Council of Service Employees<br>Sacramento, CA 95814<br>Committee ID: 831628         | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input checked="" type="checkbox"/> SCC |  | \$8,500.00                  | \$8,500.00  | 2016G: \$8,500.00                      |
| 11/28/2017      | Robert A. Coleman<br>Oakland, CA 94606  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | BHG Mason McDuffie Real<br>Estate<br>Realtor   | \$100.00                    | \$100.00  | 2016P: \$200.00<br>2016G: \$100.00     |
| <b>SUBTOTAL</b> |   |   |  |                             |   |  |

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

# Schedule A (Continuation Sheet) Monetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

|   |  |                            |
|---|--|----------------------------|
| Statement covers period<br>from <u>07/01/2017</u> |  | <b>CALIFORNIA FORM 460</b> |
| through <u>12/31/2017</u>                         |  |                            |
|   |  | Page <u>6</u> of <u>31</u> |
| NAME OF FILER<br>Swanson For Senate 2016          |  | I.D. Number<br>1347713     |

SEE INSTRUCTIONS ON REVERSE

| DATE RECEIVED   | FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *  | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED)     |
|-----------------|---|---|--|-----------------------------|---|--|
| 11/28/2017      | Cybertran International, Inc.<br>Richmond, CA 94804   | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input checked="" type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |  | \$200.00                    | \$200.00  | 2016G: \$200.00                        |
| 11/28/2017      | Michael Davenport<br>Richmond, CA 94808   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | DP Security Manager  | \$300.00                    | \$300.00  | 2016P: \$4,200.00<br>2016G: \$425.00   |
| 12/6/2017       | Davillier Sloan, Inc.<br>Oakland, CA 94607  | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input checked="" type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |  | \$200.00                    | \$200.00  | 2016G: \$200.00                        |
| 11/28/2017      | Merlin Edwards<br>El Cerrito, CA 94530  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Edwards Consulting, LLC<br>Consultant  | \$250.00                    | \$500.00  | 2016P: \$2,150.00<br>2016G: \$1,500.00 |
| 11/22/2017      | Patricia A. Ford<br>Laurel, MD 20707  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a<br>Retired   | \$100.00                    | \$100.00  | 2016P: \$100.00<br>2016G: \$300.00     |
| <b>SUBTOTAL</b> |   |   |  |                             |   |  |

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

# Schedule A (Continuation Sheet) Monetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

|  |  |  |
|--|--|--|
| Statement covers period<br>from <u>07/01/2017</u><br>through <u>12/31/2017</u> |  | <b>CALIFORNIA FORM 460</b><br>Page <u>7</u> of <u>31</u> |
| I.D. Number<br>1347713   |  |  |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Swanson For Senate 2016

| DATE RECEIVED   | FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *  | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|-----------------|---|---|--|-----------------------------|---|------------------------------------|
| 11/22/2017      | Grant & Smith, LLP<br>Oakland, CA 94612   | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input checked="" type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |  | \$250.00                    | \$250.00  | 2016P: \$350.00<br>2016G: \$250.00 |
| 12/6/2017       | Linda Handy<br>Oakland, CA 94619  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Peralta Community College<br>Board<br>Board Member   | \$100.00                    | \$100.00  | 2016P: \$100.00<br>2016G: \$300.00 |
|                 | ***INTERMEDIARY***<br>River City Business Services<br>Sacramento, CA 95841                    | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |  |                             |   |                                    |
| 11/28/2017      | Jason Hodge<br>San Francisco, CA 94116  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Ventura County<br>Firefighter  | \$250.00                    | \$250.00  | 2016G: \$250.00                    |
| 11/28/2017      | Sandra Jamerson<br>Antioch, CA 94509  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a<br>Retired   | \$100.00                    | \$100.00  | 2016P: \$125.00<br>2016G: \$100.00 |
| <b>SUBTOTAL</b> |   |   |  |                             |   |                                    |

\*Contributor Codes  
 IND - Individual  
 COM - Recipient Committee  
       (other than PTY or SCC)  
 OTH - Other  
 PTY - Political Party  
 SCC - Small Contributor Committee

# Schedule A (Continuation Sheet) Monetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

|  |  |                            |
|--|--|----------------------------|
| Statement covers period<br>from <u>07/01/2017</u><br>through <u>12/31/2017</u> |  | <b>CALIFORNIA FORM 460</b> |
| Page <u>8</u> of <u>31</u>   |  |                            |
| NAME OF FILER<br>Swanson For Senate 2016                                       |  | I.D. Number<br>1347713     |

SEE INSTRUCTIONS ON REVERSE

| DATE RECEIVED   | FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *  | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)            | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED)   |
|-----------------|---|---|---|-----------------------------|---|--------------------------------------|
| 8/26/2017       | Michael Johnson<br>Alameda, CA 94502  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a<br>Retired  | \$250.00                    | \$650.00  | 2016P: \$500.00<br>2016G: \$1,250.00 |
| 11/28/2017      | Michael Johnson<br>Alameda, CA 94502  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a<br>Retired  | \$150.00                    | \$650.00  | 2016P: \$500.00<br>2016G: \$1,250.00 |
| 8/26/2017       | Jack Kurzweil<br>Berkeley, CA 94703   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a<br>Retired  | \$200.00                    | \$200.00  | 2016P: \$250.00<br>2016G: \$300.00   |
| 7/7/2017        | Ying Lee<br>Berkeley, CA 94709  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a<br>Retired  | \$150.00                    | \$150.00  | 2016P: \$150.00<br>2016G: \$150.00   |
| 11/28/2017      | Daniel Lindheim<br>Berkeley, CA 94705   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | University of California,<br>Berkeley, National Advisory<br>Council<br>Economist & Attorney; Lecturer | \$250.00                    | \$250.00  | 2016G: \$250.00                      |
| <b>SUBTOTAL</b> |   |   |   |                             |   |                                      |

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee



# Schedule A (Continuation Sheet) Monetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

|   |  |                            |
|---|--|----------------------------|
| Statement covers period<br>from <u>07/01/2017</u> |  | <b>CALIFORNIA FORM 460</b> |
| through <u>12/31/2017</u>                         |  |                            |
|   |  | Page <u>9</u> of <u>31</u> |
| NAME OF FILER<br>Swanson For Senate 2016          |  | I.D. Number<br>1347713     |

SEE INSTRUCTIONS ON REVERSE

| DATE RECEIVED   | FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)             | CONTRIBUTOR CODE *  | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|-----------------|---|---|--|-----------------------------|---|------------------------------------|
| 9/1/2017        | Al Marshall<br>Oakland, CA 94607  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | City of Oakland<br>Construction Inspector  | \$200.00                    | \$200.00  | 2016P: \$50.00<br>2016G: \$200.00  |
|                 | ***INTERMEDIARY***<br>River City Business Services<br>Sacramento, CA 95841                                | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |  |                             |   |                                    |
| 7/24/2017       | National Union of Healthcare Workers Candidate Committee<br>Sacramento, CA 95815<br>Committee ID: 1318200 | <input type="checkbox"/> IND<br><input checked="" type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |  | \$1,000.00                  | \$1,000.00  | 2016G: \$1,000.00                  |
| 7/26/2017       | William S. Riley<br>Oakland, CA 94602   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Peralta Community College<br>District<br>Vice President                                    | \$100.00                    | \$100.00  | 2016P: \$350.00<br>2016G: \$350.00 |
| 12/22/2017      | Robert Arnold & Company<br>Oakland, CA 94610  | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input checked="" type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |  | \$500.00                    | \$500.00  | 2016P: \$600.00<br>2016G: \$750.00 |
| <b>SUBTOTAL</b> |   |   |  |                             |   |                                    |

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

# Schedule A (Continuation Sheet) Monetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

|  |  |                            |
|--|--|----------------------------|
| Statement covers period<br>from <u>07/01/2017</u><br>through <u>12/31/2017</u> |  | <b>CALIFORNIA FORM 460</b> |
| Page <u>10</u> of <u>31</u>  |  |                            |
| NAME OF FILER<br>Swanson For Senate 2016                                       |  | I.D. Number<br>1347713     |

SEE INSTRUCTIONS ON REVERSE

| DATE RECEIVED   | FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *  | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|-----------------|---|---|--|-----------------------------|---|------------------------------------|
| 12/6/2017       | Yolanda C. Smith<br>Oakland, CA 94606   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Alameda County Attorney  | \$250.00                    | \$250.00  | 2016P: \$850.00<br>2016G: \$700.00 |
|                 | ***INTERMEDIARY***<br>River City Business Services<br>Sacramento, CA 95841                    | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |  |                             |   |                                    |
| 11/7/2017       | Charles C. Stephenson, Jr.<br>Raleigh, NC 27617   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | The Stephenson Group<br>Consultant   | \$100.00                    | \$100.00  | 2016P: \$425.00<br>2016G: \$200.00 |
| 11/28/2017      | William H. Webster<br>Berkeley, CA 94705  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Law Offices of Webster & Anderson<br>Attorney  | \$100.00                    | \$100.00  | 2016G: \$400.00                    |
| 11/28/2017      | King C. Wiley<br>Fairfield, CA 94534  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | City of Oakland<br>Firefighter   | \$150.00                    | \$150.00  | 2016P: \$950.00<br>2016G: \$150.00 |
| <b>SUBTOTAL</b> |   |   |  | \$15,800.00                 |   |                                    |

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

# Schedule B – Part 1 Loans Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE B - PART 1

Statement covers period  
from 07/01/2017  
through 12/31/2017

CALIFORNIA FORM 460

Page 11 of 31

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

| FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)   | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | (a)<br>OUTSTANDING<br>BALANCE<br>BEGINNING THIS<br>PERIOD | (b)<br>AMOUNT<br>RECEIVED<br>THIS PERIOD | (c)<br>AMOUNT PAID<br>OR FORGIVEN<br>THIS PERIOD*  | (d)<br>OUTSTANDING<br>BALANCE AT<br>CLOSE OF THIS<br>PERIOD | (e)<br>INTEREST<br>PAID THIS<br>PERIOD | (f)<br>ORIGINAL<br>AMOUNT OF<br>LOAN          | (g)<br>CUMULATIVE<br>CONTRIBUTIONS<br>TO DATE   |
|--|---|---|--|--|---|--|---|---|
| Board of Equalization Member Horton 2014<br>Sacramento, CA 95815<br>Committee ID: 1333869<br><br><input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC |   | \$4,200.00  |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN                           | \$4,200.00<br><br>6/9/2016<br>DATE DUE                      | %<br><br>RATE                          | \$4,200.00<br><br>6/9/2015<br>DATE INCURRED   | CALENDAR YEAR<br><br>\$0.00<br>PER ELECTION**<br>2016P: \$4,200.00<br>2016G: \$1,500.00     |
| Sandre Swanson<br>Alameda, CA 94502<br><br><input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC   | Candidate<br>Candidate  |   |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN                           |   | %<br><br>RATE                          | \$300.00<br><br>11/19/2013<br>DATE INCURRED   | CALENDAR YEAR<br><br>(\$29,150.00)<br>PER ELECTION**<br>2016P: \$0.00<br>2016G: \$19,850.00 |
| Sandre Swanson<br>Alameda, CA 94502<br>Memo Reference: PAY2326<br><br><input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC                            | Candidate<br>Candidate  | \$25,800.00   |  | <input checked="" type="checkbox"/> PAID<br>\$14,150.00<br><br><input type="checkbox"/> FORGIVEN | \$11,650.00<br><br>12/28/2016<br>DATE DUE                   | %<br><br>RATE                          | \$67,000.00<br><br>6/28/2016<br>DATE INCURRED | CALENDAR YEAR<br><br>(\$29,150.00)<br>PER ELECTION**<br>2016P: \$0.00<br>2016G: \$19,850.00 |

## SUBTOTALS

## Schedule B Summary

1. Loans received this period. \$3,250.00  
(Total Column (b) plus unitemized loans less than \$100.)

2. Loans paid or forgiven this period \$14,450.00  
(Total Column (c) plus loans under \$100 paid or forgiven.)  
(Include loans paid by a third party that are also itemized on Schedule A.)

3. Net change this period. (Subtract Line 2 from Line 1.) Net (\$11,200.00)  
Enter the net here and on the Summary Page, Column A, Line 2. (may be a negative number)

(Enter (e) on  
Schedule E, Line 3)

\* Amounts forgiven or paid by  
another party also must be  
reported on Schedule A.

\*\* If required.

\*Contributor Codes

IND-Individual COM-Recipient Committee (other than PTY or SCC) OTH-Other PTY-Political Party SCC-Small Contributor Committee

FPPC Form 460 (June/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

# Schedule B – Part 1 Loans Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE B - PART 1

|  |                            |
|--|----------------------------|
| Statement covers period<br>from 07/01/2017<br>through 12/31/2017 | <b>CALIFORNIA FORM 460</b> |
| Page 12 of 31  |                            |

SEE INSTRUCTIONS ON REVERSE

|  |                        |
|--|------------------------|
| NAME OF FILER<br>Swanson For Senate 2016 | I.D. NUMBER<br>1347713 |
|--|------------------------|

| FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)  | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | (a)<br>OUTSTANDING<br>BALANCE<br>BEGINNING THIS<br>PERIOD | (b)<br>AMOUNT<br>RECEIVED<br>THIS PERIOD | (c)<br>AMOUNT PAID<br>OR FORGIVEN<br>THIS PERIOD*                      | (d)<br>OUTSTANDING<br>BALANCE AT<br>CLOSE OF THIS<br>PERIOD | (e)<br>INTEREST<br>PAID THIS<br>PERIOD | (f)<br>ORIGINAL<br>AMOUNT OF<br>LOAN         | (g)<br>CUMULATIVE<br>CONTRIBUTIONS<br>TO DATE   |
|---|---|---|--|--|---|--|--|---|
| Sandre Swanson<br>Alameda, CA 94502<br><br><div> <input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC </div> | Candidate<br>Candidate  | \$1,500.00  |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN | \$1,500.00<br><br>1/4/2017<br>DATE DUE                      | <br>RATE %<br><br>                     | \$1,500.00<br><br>7/4/2016<br>DATE INCURRED  | <b>CALENDAR YEAR</b><br><br>(\$29,150.00)<br><b>PER ELECTION**</b><br>2016P: \$0.00<br>2016G: \$19,850.00 |
| Sandre Swanson<br>Alameda, CA 94502<br><br><div> <input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC </div> | Candidate<br>Candidate  | \$1,000.00  |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN | \$1,000.00<br><br>1/30/2017<br>DATE DUE                     | <br>RATE %<br><br>                     | \$1,000.00<br><br>7/30/2016<br>DATE INCURRED | <b>CALENDAR YEAR</b><br><br>(\$29,150.00)<br><b>PER ELECTION**</b><br>2016P: \$0.00<br>2016G: \$19,850.00 |
| Sandre Swanson<br>Alameda, CA 94502<br><br><div> <input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC </div> | Candidate<br>Candidate  | \$500.00  |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN | \$500.00<br><br>3/2/2017<br>DATE DUE                        | <br>RATE %<br><br>                     | \$500.00<br><br>9/2/2016<br>DATE INCURRED    | <b>CALENDAR YEAR</b><br><br>(\$29,150.00)<br><b>PER ELECTION**</b><br>2016P: \$0.00<br>2016G: \$19,850.00 |

## SUBTOTALS

## Schedule B Summary

- Loans received this period. \_\_\_\_\_  
(Total Column (b) plus unitemized loans less than \$100.)
- Loans paid or forgiven this period \_\_\_\_\_  
(Total Column (c) plus loans under \$100 paid or forgiven.)  
(Include loans paid by a third party that are also itemized on Schedule A.)
- Net change this period. (Subtract Line 2 from Line 1.) \_\_\_\_\_  
Enter the net here and on the Summary Page, Column A, Line 2.

**Net** \_\_\_\_\_  
(may be a negative number)

(Enter (e) on  
Schedule E, Line 3)

\* Amounts forgiven or paid by  
another party also must be  
reported on Schedule A.

\*\* If required.

\*Contributor Codes

IND-Individual COM-Recipient Committee (other than PTY or SCC) OTH-Other PTY-Political Party SCC-Small Contributor Committee

**FPPC Form 460 (June/01)**  
**FPPC Toll-Free Helpline: 866/ASK-FPPC**

# Schedule B – Part 1 Loans Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE B - PART 1

Statement covers period  
from 07/01/2017  
through 12/31/2017

CALIFORNIA  
FORM **460**

Page 13 of 31

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

| FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)  | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | (a)<br>OUTSTANDING<br>BALANCE<br>BEGINNING THIS<br>PERIOD | (b)<br>AMOUNT<br>RECEIVED<br>THIS PERIOD | (c)<br>AMOUNT PAID<br>OR FORGIVEN<br>THIS PERIOD*                      | (d)<br>OUTSTANDING<br>BALANCE AT<br>CLOSE OF THIS<br>PERIOD | (e)<br>INTEREST<br>PAID THIS<br>PERIOD | (f)<br>ORIGINAL<br>AMOUNT OF<br>LOAN          | (g)<br>CUMULATIVE<br>CONTRIBUTIONS<br>TO DATE   |
|---|---|---|--|--|---|--|---|---|
| Sandre Swanson<br>Alameda, CA 94502<br>Memo Reference: PAY2862<br><br><input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC           | Candidate<br>Candidate  | \$1,000.00  |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN | \$1,000.00<br><br>4/17/2017<br>DATE DUE                     | <br>RATE %<br><br>                     | \$1,000.00<br><br>10/17/2016<br>DATE INCURRED | CALENDAR YEAR<br><br>(\$29,150.00)<br>PER ELECTION**<br>2016P: \$0.00<br>2016G: \$19,850.00 |
| Sandre Swanson<br>Alameda, CA 94502<br>Memo Reference: PAY2908<br><br><input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC           | Candidate<br>Candidate  | \$4,200.00  |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN | \$4,200.00<br><br>4/25/2017<br>DATE DUE                     | <br>RATE %<br><br>                     | \$4,200.00<br><br>10/25/2016<br>DATE INCURRED | CALENDAR YEAR<br><br>(\$29,150.00)<br>PER ELECTION**<br>2016P: \$0.00<br>2016G: \$19,850.00 |
| Swanson for Senate 2020<br>Sacramento, CA 95841<br>Committee ID: 1392853<br><br><input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC |   |   | \$2,000.00                               | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN | \$2,000.00<br><br>2/8/2018<br>DATE DUE                      | <br>RATE %<br><br>                     | \$2,000.00<br><br>8/8/2017<br>DATE INCURRED   | CALENDAR YEAR<br><br>\$3,000.00<br>PER ELECTION**<br>2016G: \$1,300.00                      |

## SUBTOTALS

## Schedule B Summary

1. Loans received this period. \_\_\_\_\_  
(Total Column (b) plus unitemized loans less than \$100.)
2. Loans paid or forgiven this period \_\_\_\_\_  
(Total Column (c) plus loans under \$100 paid or forgiven.)  
(Include loans paid by a third party that are also itemized on Schedule A.)
3. Net change this period. (Subtract Line 2 from Line 1.) \_\_\_\_\_  
Enter the net here and on the Summary Page, Column A, Line 2.

Net \_\_\_\_\_  
(may be a negative number)

(Enter (e) on  
Schedule E, Line 3)

\* Amounts forgiven or paid by  
another party also must be  
reported on Schedule A.

\*\* If required.

\*Contributor Codes

IND-Individual COM-Recipient Committee (other than PTY or SCC) OTH-Other PTY-Political Party SCC-Small Contributor Committee

FPPC Form 460 (June/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

# Schedule B – Part 1 Loans Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE B - PART 1

Statement covers period  
from 07/01/2017  
through 12/31/2017

CALIFORNIA  
FORM **460**

Page 14 of 31

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

| FULL NAME, STREET ADDRESS AND ZIP CODE<br>OF LENDER<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)   | IF AN INDIVIDUAL, ENTER<br>OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER<br>NAME OF BUSINESS) | (a)<br>OUTSTANDING<br>BALANCE<br>BEGINNING THIS<br>PERIOD | (b)<br>AMOUNT<br>RECEIVED<br>THIS PERIOD | (c)<br>AMOUNT PAID<br>OR FORGIVEN<br>THIS PERIOD*   | (d)<br>OUTSTANDING<br>BALANCE AT<br>CLOSE OF THIS<br>PERIOD | (e)<br>INTEREST<br>PAID THIS<br>PERIOD | (f)<br>ORIGINAL<br>AMOUNT OF<br>LOAN         | (g)<br>CUMULATIVE<br>CONTRIBUTIONS<br>TO DATE                      |
|---|---|---|--|---|---|--|--|--|
| Swanson for Senate 2020<br>Sacramento, CA 95841<br>Committee ID: 1392853<br><br><input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC |   |   |  | <input checked="" type="checkbox"/> PAID<br>\$300.00<br><input type="checkbox"/> FORGIVEN | \$700.00<br><br>2/18/2017<br>DATE DUE                       | %<br>RATE                              | \$1,000.00<br><br>8/18/2017<br>DATE INCURRED | CALENDAR YEAR<br>\$3,000.00<br>PER ELECTION**<br>2016G: \$1,300.00 |
| Swanson for Senate 2020<br>Sacramento, CA 95841<br>Committee ID: 1392853<br><br><input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC |   |   | \$1,000.00                               | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN                    | \$250.00<br><br>5/30/2018<br>DATE DUE                       | %<br>RATE                              | \$250.00<br><br>11/30/2017<br>DATE INCURRED  | CALENDAR YEAR<br>\$3,000.00<br>PER ELECTION**<br>2016G: \$1,300.00 |
| <br><br><input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC  |   |   |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN                    | <br><br><br>DATE DUE  | %<br>RATE                              | <br><br><br>DATE INCURRED                    | CALENDAR YEAR<br><br>PER ELECTION**                                |
| SUBTOTALS   |   |   |  |   |   |  |  |  |
|   |   |   |  |   |   |  | \$3,250.00                                   | \$14,450.00  |
|   |   |   |  |   |   |  | \$27,000.00                                  |  |

## Schedule B Summary

1. Loans received this period. \_\_\_\_\_  
(Total Column (b) plus unitemized loans less than \$100.)
2. Loans paid or forgiven this period \_\_\_\_\_  
(Total Column (c) plus loans under \$100 paid or forgiven.)  
(Include loans paid by a third party that are also itemized on Schedule A.)
3. Net change this period. (Subtract Line 2 from Line 1.) \_\_\_\_\_  
Enter the net here and on the Summary Page, Column A, Line 2.

Net \_\_\_\_\_  
(may be a negative number)

(Enter (e) on  
Schedule E, Line 3)

\* Amounts forgiven or paid by  
another party also must be  
reported on Schedule A.

\*\* If required.

\*Contributor Codes

IND-Individual COM-Recipient Committee (other than PTY or SCC) OTH-Other PTY-Political Party SCC-Small Contributor Committee

FPPC Form 460 (June/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

# Schedule B - Part 2

## Loan Guarantors

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE B - PART 2

|  |                             |
|--|-----------------------------|
| Statement covers period<br>from <u>07/01/2017</u><br>through <u>12/31/2017</u> | <b>CALIFORNIA FORM 460</b>  |
|  | Page <u>15</u> of <u>31</u> |
| I.D. Number<br>1347713   |                             |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

| FULL NAME, STREET ADDRESS AND<br>ZIP CODE OF GUARANTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR<br>CODE  | IF AN INDIVIDUAL, ENTER<br>OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER<br>NAME OF BUSINESS) | LOAN                             | AMOUNT<br>GUARANTEED<br>THIS PERIOD | CUMULATIVE<br>TO DATE  | BALANCE<br>OUTSTANDING<br>TO DATE |
|--|--|---|----------------------------------|-------------------------------------|--|-----------------------------------|
|  | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |   | LENDER<br>_____<br>DATE<br>_____ |                                     | CALENDAR YEAR<br>_____<br>PER ELECTION<br>(IF REQUIRED)<br>_____ |                                   |
|  | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |   | LENDER<br>_____<br>DATE<br>_____ |                                     | CALENDAR YEAR<br>_____<br>PER ELECTION<br>(IF REQUIRED)<br>_____ |                                   |
|  | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |   | LENDER<br>_____<br>DATE<br>_____ |                                     | CALENDAR YEAR<br>_____<br>PER ELECTION<br>(IF REQUIRED)<br>_____ |                                   |
|  | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |   | LENDER<br>_____<br>DATE<br>_____ |                                     | CALENDAR YEAR<br>_____<br>PER ELECTION<br>(IF REQUIRED)<br>_____ |                                   |
| <b>SUBTOTAL</b>  |  |   |                                  |                                     | Enter on<br>Summary Page,<br>Line 17 only.                       |                                   |

# Schedule C

## Nonmonetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE C

|  |                             |
|--|-----------------------------|
| Statement covers period<br>from <u>07/01/2017</u><br>through <u>12/31/2017</u> | <b>CALIFORNIA FORM 460</b>  |
|  | Page <u>16</u> of <u>31</u> |
| I.D. Number<br>1347713   |                             |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

| DATE RECEIVED | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *   | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | DESCRIPTION OF GOODS OR SERVICES | AMOUNT/ FAIR MARKET VALUE | CUMULATIVE TO DATE<br>CALENDAR YEAR<br>(JAN 1 - DEC 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|---------------|---|--|---|----------------------------------|---------------------------|---|---------------------------------------|
|               |   | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |   |                                  |                           |   |                                       |
|               |   | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |   |                                  |                           |   |                                       |
|               |   | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |   |                                  |                           |   |                                       |
|               |   | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |   |                                  |                           |   |                                       |

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL**

## Schedule C Summary

- Amount received this period - nonmonetary contributions of \$100 or more.  
(Include all Schedule C subtotals.).....
- Amount received this period - unitemized nonmonetary contributions of less than \$100 .....
- Total nonmonetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.) ..... **TOTAL**

\*Contributor Codes  
 IND - Individual  
 COM- Recipient Committee  
       (other than PTY or SCC)  
 OTH - Other  
 PTY - Political Party  
 SCC - Small Contributor Committee



**Schedule D**  
**Summary of Expenditures**  
**Supporting/Opposing Other**  
**Candidates, Measures and Committees**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

|                         |            |                        |  |
|-------------------------|------------|------------------------|--|
| Statement covers period |            | SCHEDULE D             |  |
| from                    | 07/01/2017 | CALIFORNIA FORM 460    |  |
| through                 | 12/31/2017 | Page 17 of 31          |  |
|                         |            | I.D. NUMBER<br>1347713 |  |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

| DATE | NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR<br>MEASURE NUMBER OR LETTER AND JURISDICTION,<br>OR COMMITTEE | TYPE OF PAYMENT  | DESCRIPTION<br>(IF REQUIRED) | AMOUNT THIS<br>PERIOD | CUMULATIVE TO DATE<br>CALENDAR YEAR<br>(JAN.1 - DEC. 31) | PER ELECTION<br>TO DATE<br>(IF REQUIRED) |
|------|---|--|------------------------------|-----------------------|--|--|
|      |   | <input type="checkbox"/> Monetary<br>Contribution<br><input type="checkbox"/> Nonmonetary<br>Contribution<br><input type="checkbox"/> Independent<br>Expenditure |                              |                       |  |  |
|      | <input type="checkbox"/> Support <input type="checkbox"/> Oppose  |  |                              |                       |  |  |
|      |   | <input type="checkbox"/> Monetary<br>Contribution<br><input type="checkbox"/> Nonmonetary<br>Contribution<br><input type="checkbox"/> Independent<br>Expenditure |                              |                       |  |  |
|      | <input type="checkbox"/> Support <input type="checkbox"/> Oppose  |  |                              |                       |  |  |
|      |   | <input type="checkbox"/> Monetary<br>Contribution<br><input type="checkbox"/> Nonmonetary<br>Contribution<br><input type="checkbox"/> Independent<br>Expenditure |                              |                       |  |  |
|      | <input type="checkbox"/> Support <input type="checkbox"/> Oppose  |  |                              |                       |  |  |

**SUBTOTAL**

**Schedule D Summary**

1. Contributions and independent expenditures made this period of \$100 or more. (Include all Schedule D subtotals.) .....
2. Unitemized contributions and independent expenditures made this period of under \$100 .....
3. Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.) ..... **TOTAL** .....

# Schedule E Payments Made

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE E

|  |                                |
|--|--------------------------------|
| Statement covers period<br>from 07/01/2017<br>through 12/31/2017 | <b>CALIFORNIA<br/>FORM 460</b> |
| Page 18 of 31  | I.D. NUMBER<br>1347713         |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, email)            |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---|------|----|------------------------|-------------|
| Autumn Press Inc.<br>Berkeley, CA 94710                             | LIT  |    |                        | \$1,000.00  |
| River City Business Services<br>Sacramento, CA 95841                | PRO  |    |                        | \$123.01    |
| Autumn Press Inc.<br>Berkeley, CA 94710                             | LIT  |    |                        | \$1,000.00  |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

## Schedule E Summary

|  |                         |
|--|-------------------------|
| 1. Payments made this period of \$100 or more. (Include all Schedule E subtotals.) .....                                 | \$7,032.81              |
| 2. Unitemized payments made this period of under \$100. ....   | \$39.75                 |
| 3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....                   | \$0.00                  |
| 4. Total payments made this period. (Add lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... | <b>TOTAL</b> \$7,072.56 |

# Schedule E (Continuation Sheet) Payments Made

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE E (CONT.)

|  |            |                                |
|--|------------|--------------------------------|
| Statement covers period                  |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                     | 07/01/2017 |                                |
| through 12/31/2017                       |            | Page 19 of 31                  |
| NAME OF FILER<br>Swanson For Senate 2016 |            | I.D. NUMBER<br>1347713         |

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, email)            |

| NAME AND ADDRESS OF PAYEE OR CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---|------|----|------------------------|-------------|
| Autumn Press Inc.<br>Berkeley, CA 94710   | LIT  |    |                        | \$1,000.00  |
| River City Business Services<br>Sacramento, CA 95841                            | PRO  |    |                        | \$568.11    |
| River City Business Services<br>Sacramento, CA 95841                            | OFC  |    |                        | \$10.00     |
| Carol Jones<br>Bay Point, CA 94565  | TRS  |    |                        | \$12.00     |
| Carol Jones<br>Bay Point, CA 94565  | OFC  |    |                        | \$97.03     |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

# Schedule E (Continuation Sheet) Payments Made

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE E (CONT.)

|  |            |                                |
|--|------------|--------------------------------|
| Statement covers period                  |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                     | 07/01/2017 |                                |
| through 12/31/2017                       |            | Page 20 of 31                  |
| NAME OF FILER<br>Swanson For Senate 2016 |            | I.D. NUMBER<br>1347713         |

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, email)            |

| NAME AND ADDRESS OF PAYEE OR CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT                            | AMOUNT PAID |
|---|------|----|---|-------------|
| Carol Jones<br>Bay Point, CA 94565  | TRS  |    | No Single Transaction Exceeds Reporting Threshold | \$152.11    |
| Carol Jones<br>Bay Point, CA 94565  | POS  |    |   | \$26.75     |
| Carol Jones<br>Bay Point, CA 94565  | MTG  |    |   | \$45.17     |
| Carol Jones<br>Bay Point, CA 94565  | CNS  |    |   | \$200.00    |
| River City Business Services<br>Sacramento, CA 95841                            | PRO  |    |   | \$344.19    |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

# Schedule E (Continuation Sheet) Payments Made

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE E (CONT.)

|  |            |                                |
|--|------------|--------------------------------|
| Statement covers period                  |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                     | 07/01/2017 |                                |
| through 12/31/2017                       |            | Page 21 of 31                  |
| NAME OF FILER<br>Swanson For Senate 2016 |            | I.D. NUMBER<br>1347713         |

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, email)            |

| NAME AND ADDRESS OF PAYEE OR CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---|------|----|------------------------|-------------|
| River City Business Services<br>Sacramento, CA 95841                            | PRO  |    |                        | \$210.17    |
| River City Business Services<br>Sacramento, CA 95841                            | PRO  |    |                        | \$94.41     |
| River City Business Services<br>Sacramento, CA 95841                            | OFC  |    |                        | \$12.50     |
| Autumn Press Inc.<br>Berkeley, CA 94710   | LIT  |    |                        | \$1,000.00  |
| Daniel Ziegler DBA Daniel Ziegler Design<br>Berkeley, CA 94703                  | LIT  |    |                        | \$550.00    |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

# Schedule E (Continuation Sheet) Payments Made

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE E (CONT.)

|  |            |                                |
|--|------------|--------------------------------|
| Statement covers period                  |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                     | 07/01/2017 |                                |
| through 12/31/2017                       |            | Page 22 of 31                  |
| NAME OF FILER<br>Swanson For Senate 2016 |            | I.D. NUMBER<br>1347713         |

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, email)            |

| NAME AND ADDRESS OF PAYEE OR CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---|------|----|------------------------|-------------|
| Carol Jones<br>Bay Point, CA 94565  | CNS  |    |                        | \$300.00    |
| River City Business Services<br>Sacramento, CA 95841                            | OFC  |    |                        | \$17.50     |
| River City Business Services<br>Sacramento, CA 95841                            | PRO  |    |                        | \$269.86    |
|   |      |    |                        |             |
|   |      |    |                        |             |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL** \$7,032.81

# Schedule F Accrued Expenses (Unpaid Bills)

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2017  
through 12/31/2017

CALIFORNIA  
FORM **460**

Page 23 of 31

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, email)            |

| NAME AND ADDRESS OF CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE OR<br>DESCRIPTION OF PAYMENT | (a)<br>OUTSTANDING<br>BALANCE BEGINNING<br>OF THIS PERIOD | (b)<br>AMOUNT INCURRED<br>THIS PERIOD | (c)<br>AMOUNT PAID<br>THIS PERIOD<br>(ALSO REPORT ON E) | (d)<br>OUTSTANDING<br>BALANCE AT CLOSE<br>OF THIS PERIOD |
|--|-----------------------------------|---|---------------------------------------|---|--|
| JPM&M, Inc.<br>Sacramento, CA 95814                                    | CNS                               | \$5,000.00  | \$0.00                                | \$0.00  | \$5,000.00   |
| JPM&M, Inc.<br>Sacramento, CA 95814                                    | CNS                               | \$15,000.00   | \$0.00                                | \$0.00  | \$15,000.00  |
| Autumn Press Inc.<br>Berkeley, CA 94710                                | LIT                               | \$13,016.82   | \$0.00                                | \$4,000.00  | \$9,016.82   |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

## SUBTOTALS

## Schedule F Summary

- Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for accrued expenses of \$100 or more, plus total unitemized accrued expenses under \$100.)..... **INCURRED TOTALS** \$275.19
- Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.)..... **PAID TOTALS** \$5,506.07
- Net change this period. (**Subtract** Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.)..... **NET** (\$5,230.88)  
May be a negative number.

**Schedule F  
(Continuation Sheet)  
Accrued Expenses (Unpaid Bills)**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2017  
through 12/31/2017

**CALIFORNIA  
FORM 460**

Page 24 of 31

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, email)            |

\*Payments that are contributions or independent expenditures must also be summarized on Schedule D.

| NAME AND ADDRESS OF CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE OR<br>DESCRIPTION OF PAYMENT                           | (a)<br>OUTSTANDING<br>BALANCE BEGINNING<br>OF THIS PERIOD | (b)<br>AMOUNT INCURRED<br>THIS PERIOD | (c)<br>AMOUNT PAID<br>THIS PERIOD<br>(ALSO REPORT ON E) | (d)<br>OUTSTANDING<br>BALANCE AT CLOSE<br>OF THIS PERIOD |
|--|---|---|---------------------------------------|---|--|
| Carol Jones<br>Bay Point, CA 94565                                     | TRS   | \$12.00   | \$0.00                                | \$12.00   | \$0.00   |
| Carol Jones<br>Bay Point, CA 94565                                     | OFC   | \$97.03   | \$0.00                                | \$97.03   | \$0.00   |
| Carol Jones<br>Bay Point, CA 94565                                     | TRS<br>No Single Transaction Exceeds<br>Reporting Threshold | \$152.11  | \$0.00                                | \$152.11  | \$0.00   |
| Carol Jones<br>Bay Point, CA 94565                                     | POS   | \$26.75   | \$0.00                                | \$26.75   | \$0.00   |

**SUBTOTALS**



**Schedule F  
(Continuation Sheet)  
Accrued Expenses (Unpaid Bills)**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2017  
through 12/31/2017

**CALIFORNIA FORM 460**

Page 25 of 31

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, email)            |

\*Payments that are contributions or independent expenditures must also be summarized on Schedule D.

| NAME AND ADDRESS OF CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE OR<br>DESCRIPTION OF PAYMENT | (a)<br>OUTSTANDING<br>BALANCE BEGINNING<br>OF THIS PERIOD | (b)<br>AMOUNT INCURRED<br>THIS PERIOD | (c)<br>AMOUNT PAID<br>THIS PERIOD<br>(ALSO REPORT ON E) | (d)<br>OUTSTANDING<br>BALANCE AT CLOSE<br>OF THIS PERIOD |
|--|-----------------------------------|---|---------------------------------------|---|--|
| Carol Jones<br>Bay Point, CA 94565                                     | MTG                               | \$45.17   | \$0.00                                | \$45.17   | \$0.00   |
| Daniel Ziegler DBA Daniel Ziegler Design<br>Berkeley, CA 94703         | LIT                               | \$3,050.00  | \$0.00                                | \$550.00  | \$2,500.00   |
| Soiree Valet Parking Services, Inc.<br>San Francisco, CA 94103         | FND                               | \$1,250.00  | \$0.00                                | \$0.00  | \$1,250.00   |
| Autumn Press Inc.<br>Berkeley, CA 94710                                | LIT                               | \$421.59  | \$0.00                                | \$0.00  | \$421.59   |

**SUBTOTALS**

# Schedule F (Continuation Sheet) Accrued Expenses (Unpaid Bills)

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2017  
through 12/31/2017

CALIFORNIA  
FORM **460**

Page 26 of 31

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

\*Payments that are contributions or independent expenditures must also be summarized on Schedule D.

| NAME AND ADDRESS OF CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE OR<br>DESCRIPTION OF PAYMENT | (a)<br>OUTSTANDING<br>BALANCE BEGINNING<br>OF THIS PERIOD | (b)<br>AMOUNT INCURRED<br>THIS PERIOD | (c)<br>AMOUNT PAID<br>THIS PERIOD<br>(ALSO REPORT ON E) | (d)<br>OUTSTANDING<br>BALANCE AT CLOSE<br>OF THIS PERIOD |
|--|-----------------------------------|---|---------------------------------------|---|--|
| Autumn Press Inc.<br>Berkeley, CA 94710                                | LIT                               | \$30,561.59   | \$0.00                                | \$0.00  | \$30,561.59  |
| Carol Jones<br>Bay Point, CA 94565                                     | CNS                               | \$20,000.00   | \$0.00                                | \$500.00  | \$19,500.00  |
| The Capitol Alliance<br>Sacramento, CA 95814                           | FND                               | \$1,000.00  | \$0.00                                | \$0.00  | \$1,000.00   |
| River City Business Services<br>Sacramento, CA 95841                   | PRO                               | \$123.01  | \$0.00                                | \$123.01  | \$0.00   |

**SUBTOTALS**

Schedule F  
(Continuation Sheet)  
Accrued Expenses (Unpaid Bills)

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2017  
through 12/31/2017

CALIFORNIA FORM 460  
Page 27 of 31  
I.D. NUMBER 1347713

NAME OF FILER  
Swanson For Senate 2016

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- CMP campaign paraphernalia/misc.

CNS campaign consultants

CTB contribution (explain nonmonetary)\*

CVC civic donations

FIL candidate filing/ballot fees

FND fundraising events

IND independent expenditure supporting/opposing others (explain)\*

LEG legal defense

LIT campaign literature and mailings
- MBR member communications

MTG meetings and appearances

OFC office expenses

PET petition circulating

PHO phone banks

POL polling and survey research

POS postage, delivery and messenger services

PRO professional services (legal, accounting)

PRT print ads
- RAD radio airtime and production costs

RFD returned contributions

SAL campaign workers' salaries

TEL t.v. or cable airtime and production costs

TRC candidate travel, lodging, and meals

TRS staff/spouse travel, lodging, and meals

TSF transfer between committees of the same candidate/sponsor

VOT voter registration

WEB information technology costs (internet, email)
- \*Payments that are contributions or independent expenditures must also be summarized on Schedule D.

| NAME AND ADDRESS OF CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE OR<br>DESCRIPTION OF PAYMENT | (a)<br>OUTSTANDING<br>BALANCE BEGINNING<br>OF THIS PERIOD | (b)<br>AMOUNT INCURRED<br>THIS PERIOD | (c)<br>AMOUNT PAID<br>THIS PERIOD<br>(ALSO REPORT ON E) | (d)<br>OUTSTANDING<br>BALANCE AT CLOSE<br>OF THIS PERIOD |
|--|-----------------------------------|---|---------------------------------------|---|--|
| River City Business Services<br>Sacramento, CA 95841                   | PRO                               | \$0.00  | \$275.19                              | \$0.00  | \$275.19   |
|  |                                   |   |                                       |   |  |
|  |                                   |   |                                       |   |  |
|  |                                   |   |                                       |   |  |
|  |                                   |   |                                       |   |  |
| SUBTOTALS  |                                   | \$89,756.07   | \$275.19                              | \$5,506.07  | \$84,525.19  |

# Schedule G

## Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE G

Statement covers period  
from 07/01/2017  
through 12/31/2017

CALIFORNIA  
FORM **460**

Page 28 of 31

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

NAME OF AGENT OR INDEPENDENT CONTRACTOR

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

| NAME AND ADDRESS OF PAYEE OR CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---|------|----|------------------------|-------------|
|   |      |    |                        |             |
|   |      |    |                        |             |
|   |      |    |                        |             |
|   |      |    |                        |             |
|   |      |    |                        |             |
|   |      |    |                        |             |
|   |      |    |                        |             |

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\***

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

FPPC Form 460 (June/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

# Schedule H – Loans Made to Others\*

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE H

Statement covers period

from 07/01/2017

through 12/31/2017

CALIFORNIA  
FORM

460

Page 29 of 31

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

| FULL NAME, STREET ADDRESS AND ZIP CODE<br>OF RECIPIENT<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)   | IF AN INDIVIDUAL, ENTER<br>OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER<br>NAME OF BUSINESS) | (a)<br>OUTSTANDING<br>BALANCE<br>BEGINNING THIS<br>PERIOD | (b)<br>AMOUNT<br>LOANED THIS<br>PERIOD | (c)<br>REPAYMENT OR<br>FORGIVENESS<br>THIS PERIOD*                     | (d)<br>OUTSTANDING<br>BALANCE AT<br>CLOSE OF THIS<br>PERIOD | (e)<br>INTEREST<br>RECEIVED | (f)<br>ORIGINAL<br>AMOUNT OF<br>LOAN | (g)<br>CUMULATIVE<br>LOANS<br>TO DATE |
|--|---|---|--|--|---|-----------------------------|--------------------------------------|---------------------------------------|
|  |   |   |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN |   | _____ %<br>RATE             |                                      | CALENDAR YEAR<br><br>PER ELECTION**   |
|  |   |   |  |  | DATE DUE  |                             | DATE INCURRED                        |                                       |
|  |   |   |  | <input type="checkbox"/> PAID<br><br><input type="checkbox"/> FORGIVEN |   | _____ %<br>RATE             |                                      | CALENDAR YEAR<br><br>PER ELECTION**   |
|  |   |   |  |  | DATE DUE  |                             | DATE INCURRED                        |                                       |
| *Loans that are contributions to another candidate or committee must also be summarized on Schedule D. Loans forgiven must also be reported on Schedule E. |   | SUBTOTALS   |  |  |   |                             |                                      |                                       |

(Enter (e) on  
Schedule I, Line 3)

## Schedule H Summary

1. Loans made this period .....  
(Total Column (b) plus unitemized loans less than \$100.)

2. Payments received on loans .....  
(Total Column (c) plus unitemized payments less than \$100.)

3. Net change this period. (Subtract Line 2 from Line 1.) .....  
(Enter the net here and on the Summary Page, Column A, Line 7.)

NET (May be a negative number)

\*\* If Required

# Schedule I Miscellaneous Increases to Cash

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE I

Statement covers period  
from 07/01/2017  
through 12/31/2017

CALIFORNIA  
FORM **460**

Page 30 of 31

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Swanson For Senate 2016

I.D. NUMBER  
1347713

| DATE<br>RECEIVED | FULL NAME AND ADDRESS OF SOURCE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | DESCRIPTION OF RECEIPT | AMOUNT OF<br>INCREASE TO CASH |
|------------------|---|------------------------|-------------------------------|
|                  |   |                        |                               |
|                  |   |                        |                               |
|                  |   |                        |                               |
|                  |   |                        |                               |
|                  |   |                        |                               |
|                  |   |                        |                               |

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL** \$ .00

## Schedule I Summary

- Increases to cash of \$100 or more this period..... \$ .00
- Unitemized increases to cash under \$100 this period..... \$ .00
- Total of all interest received this period on loans made to others. (Schedule H, Column (e).)..... \$ .00
- Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.)..... **TOTAL** \$ .00

FPPC Form 460 (June/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

Memo Reference: PAY2326  
Loan

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Memo Reference: PAY2862  
Loan

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Memo Reference: PAY2908  
Loan

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